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## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b)) Express Mail APPLICATION ELEMENTS Commissioner for Patents ADDRESS TO: **Box Patent Application** See MPEP Chapter 600 concerning utility patent application contents. P.O. Box 1450, Alexandria, VA 22213-Fee Transmittal Form (PTO/SB/17) 1. 🛛 7. CD-ROM or CD-R in duplicate, large table or (Submit an original, and a duplicate for fee processing) Computer Program (Appendix) 2. 🛛 Applicant claims small entity status. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. (if applicable, all necessary) 3. 🛛 Specification [Total Pages a. Computer Readable Form (CRF) (preferred arrangement set forth below) b. Specification Sequence Listing on: -Descriptive title of the invention -Cross reference to Related Applications i. CD-ROM or CD-R (2 copies); or -Statement Regarding Fed Sponsored R & D ii. D paper -Reference to sequence listing, a table, or a computer program listing appendix c. Statements verifying identity of above copies -Background of the Invention -Brief Summary of the Invention -Detailed Description ACCOMPANYING APPLICATION PARTS -Claim(s) Abstract of the Disclosure 4. 🛛 Drawing(s) (35 U.S.C. 113) [Total Sheets 9 ] Assignment papers (cover sheet & document(s)) 10. 37 CFR 3.73(b) Statement Power of Oath or Declaration [Total Pages 3 a. ☐ Newly executed (original or copy)
b. ☑ Copy from a prior application (37 CFR 1.63 (d))
(for continuational divisional with Box 17 completed)
i. ☐ DELETION OF INVENTOR(S) (when there is an assignee) Attorney 11. English Translation Document (if applicable) 12. Information disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations Signed statement attached deleting inventor(s) 13. Preliminary Amendment named in the prior application, see 37 CFR 14. Return Receipt Postcard (MPEP 503) 1.63(d)(2) and 1.33(b). (Should be specifically itemized) 6. 🔲 Application Data Sheet. See 37 CFR 1.76 15. Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. Other: ..... 17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: ☐ Continuation ☑ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09/397,795 filed March 25, 2003, Prior application information: Examiner D. Khare Group | Art Unit: 1623 For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 18. CORRESPONDENCE ADDRESS Customer Number or Bar Code Label or Correspondence address below 27194 Name Howrey Simon Arnold & White, LLP 301 Ravenswood Avenue Address Box 34 Menlo Park City State CA Zip Code USA 650-463-8109 Country Telephone Fax 650-463-8400 Albert P. Halluin/Viola T. Kung NAME (Print/Type) Reg. No. 25,227/41,131 Signature

Signature

Date

October 8, 2003

Ourden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any complete.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



**TOTAL AMOUNT OF PAYMENT** 

PTO/SB/17 (09-00)

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## FEE TRANSMITTAL For FY 2003

Patent fees are subject to annual revision.

(\$)394.00

Complete if Known							
Application Number	Not Yet Assigned						
Filing Date	Herewith						
First Named Inventor	Ward M. Peterson						
Examiner Name	D. Khare						
Group Art Unit	1623						
Attorney Docket No.	03678.0073.DVUS04						

METHOD OF PAYMENT					FEE CALCULATION (continued)								
The Commissioner is hereby authorized to charge					3. ADDITIONAL FEES								
indicated fees and credit any overnayments to:					V. ^	J. ADDITIONAL FEES							
Deposit Acct. No. 08-3038			Large Fee	Entity Fee	Small Fee	Entity Fee	Fee Description	Fee Paid					
Deposit					105	130	205	65	Surcharge - late filing fee or oath				
Account Name Howrey Simon Arnold & White, LLP					127	50	227	25	Surcharge – late provisional filing fee or cover sheet				
Charge Any Additional Fee Required					139	130	139	130	Non-English specification				
Under 37 CFR 1.16 and 1.17					147	2,520	147	2,520	For filing a request for ex parte reexamination				
Applicant claims small entity status. See 37 CFR 1.27					112	920*	112	920*	Requesting publication of SIR prior to Examiner action				
2. 🛛 Payment Enclosed:					113	· 1,840*	113	1,840*	Requesting publication of SIR after Examiner action	_			
Check Credit card Money Other					115	110	215	55	Extension for reply within first month				
Order					116	410	216	205	Extension for reply within second month				
FEE CALCULATION  1. BASIC FILING FEE					117	930	217	465	Extension for reply within third month				
1. BASIC	Entity	IG FEE Small	Entity					118	1,450	218	725	Extension for reply within fourth month	
Fee Code	Fee (\$)	Fee Code	Fee	Fee	Description	F	e Paid	128	1,970	228	985	Extension for reply within fifth month	
101	770	201	(\$) 385	I Itility f	iling fee	385.		119	320	219	160	Notice of Appeal	
106	330	206	165	-	filing fee	385	.00	120	320	220	· 160	Filing a brief in support of an appeal	
107	520	207	260	•	iling fee	$\vdash$		121	280	221	140	Request for oral hearing	
108	750	208	375		e filing fee			138	1,510	138	1,510	Petition to institute a public use proceeding	***************************************
114	160	214	80		onal filing fee	<b>—</b>		140	110	240	55	Petition to revive – unavoidable	
			-		C			141	1,300	241	650	Petition to revive – unintentional	
					142	1,300	242	650	Utility issue fee (or reissue)				
SUBTOTAL (1) (\$)385.00					143	470	243	235	Design issue fee				
2. EXTRA CLAIM FEES				144	630	244	315	Plant issue fee					
			Extra Claims		Fee from below		Fee Paid	122	130	122	130	Petitions to the Commissioner	
Total Claims	21	-20** =	9	T x	9.00	Ր	9.00	123	50	123	50	Petitions related to provisional applications	
Independent	=		<del>-</del>	╡		╡╞	0.00	126	180	126	180	Submission of Information Disclosure Stmt	
Claims Multiple Depe	3 ndent	- 3** =	0	×	<u> </u>	┥┇┝	100	581	40	581	40	Recording each patent assignment per property (times number of properties)	
muniple Depe	illoent.					_] _ [_		146	750	246	375	Filing a submission after final rejection (37 CFR § 1.129(a)	
Large Fee	Entity Fee	Small Fee	Entity Fee		Fee Desc	cription		149	750	249	375	For each additional invention to be examined (37 CFR § 1.129(b)	
Code 103	(\$) 18	Code 203	(\$) 9	Claims i	Claims in excess of 20		179	750	279	375	Request for Continued Examination (RCE)		
102	84	202	42	Independent claims in excess of 3		169	900	169	900	Request for expedited examination			
104	280	204	140	Multiple dependent claim, if not paid						of a design application			
109 84 209 42 ** Reissue Independent claims			Other fee (specify) * Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)										
over original patent 110 18 210 9 ** Reissue claims in excess of 20													
and over original patent SUBTOTAL (2) (\$)9.00					ł								
**or number previously paid, if greater; For Reissues, see above				1									

SUBMITTED BY			· .	Complete (if applicable)	
Name (Print/Type)	Albert P. Halluin/Viola T. Kung	Registration No. (Attorney/Agent)	25,227/41,131	Telephone	650-463-8109
Signature	Illian N Talli			Date	October 8, 2003

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